

Dear Valued Investor,

Thank you for expressing an interest in opening a Solium Financial retail brokerage account! For us to quickly and successfully activate your self-serve brokerage account, we ask that you please forward us the documentation outlined below within the next 10 business days.

## Account application components

**NOTE:** Both the primary and joint applicant, if applicable, must supply the documents outlined here. Non-personal accounts require other documentation.

- **New Account Application Form (NAAF)**

Please date and sign the NAAF. Please note, for clients with a PO Box as a mailing addresses, you must have a physical "land address" – we will still send mail to your PO Box. For those clients wishing to open registered accounts, please note that additional applications must also be completed (as below).

- **Identity Verification**

Regulations require us to verify your identity. The following options are available to you:

- **Personal cheque in the amount of \$20 CAD payable to Solium Financial Inc.**

We cannot accept bank drafts, certified cheques or cash. This initial deposit cheque will have a hold period of five business days to satisfy anti-money laundering regulations. Should you wish to avoid this delay, we ask that you please complete one of the other options. Please note that a joint trading account will require a cheque drawn from a joint bank account.

- **Account Confirmation Letter**

Have your bank complete the Account Confirmation Letter, confirming your bank account number, ownership and signing authorities. You can scan and email this to us.

- **Screenshot of your bank account**

You may take a screenshot of your bank account and email this to us. This will allow you immediate access to trading. To satisfy conditions, the screenshot must include; type of account (must be a deposit account), full legal name of account holder, account number, account balance and the name of your bank.

- **Other documentation**

If one of the following scenarios applies to you, additional documentation may be required. Please see our Account Opening Checklist online to find out what documentation you will need to provide.

- If someone other than the account holder will be executing the trading in the account
- If you are opening a locked-in registered account
- If you are opening an RESP
- If you are opening a corporate account, investment club or estate account
- If you are opening a legal trust or an informal trust account
- If you have a share certificate to deposit to your account
- If you are transferring shares in from another brokerage account

Please mail the signed NAAF, your cheque(s) and any other documentation required to:

**Solium Financial Inc.**

**Attn: New Accounts Dept**

Suite 1500, 800 – 6 Avenue SW

Calgary, AB T2P 3G3

We value your business and look forward to fulfilling your brokerage needs!



Warren Carter  
President