Solium FINANCIAL
a division of Solium Capital, Inc.

Account Profile
Supplemental

(also referred to as the "Firm")

Client Identification Number

Profiled Person: _____

Social Insurance Number

Spouse's name			Spouse's occupation	# of dependents
Apt. or suite	Legal land or street address			City
Province	Postal code	Country	Residential phone number	Alternate phone number
Employer's name			Occupation	Date of birth (mm/dd/yyyy)
Suite No.	Legal land or street address			City
Province	Postal code	Country	Business phone	Business fax
Relationship to Account Holder			Years with Employer	

Co-Account Holder Assets (Not required for persons having Trading Authority/Full Authority)

Estimated Net Liquid Assets (cash & securities less loans outstanding against securities) + Estimated Net Fixed Assets – Other Liabilities (fixed assets less liabilities outstanding against fixed assets) = Estimated Total Net worth

Approximate annual income from all sources

Citizenship

Citizenship _____

Residency for tax purposes _____ (Province / Country)

Is the Profiled Person a U.S. Citizen? ❑ YES ❑ NO

If yes, state U.S. SSN _____ and provide W9

Verification of Identity To be certified by Employee of the Firm or verified by Authorized Person. Indicate what document has been seen and attach a copy.

❑ Passport ❑ Driver's Licence ❑ National Identity Card ❑ Provincial Health Insurance Card ❑ Age of Majority Card
❑ W-8BEN (if NO other identification available)

1. Does the Profiled Person have or control the trading of, or have a financial interest in, any other accounts with other investment firms? ❑ YES ❑ NO
   If yes, provide details: _____
2. Is the Profiled Person related to and residing at the same address as an Employee of the Firm or any other firm? ❑ YES ❑ NO
   If yes, please name: _____
3. Is the Profiled Person or a defined relative thereof a Politically Exposed Person as defined below? ❑ YES ❑ NO

A **politically exposed person** is an individual who holds or has ever held one of the following offices or positions in or on behalf of a foreign country:

- a head of state or government;
- a member of the executive council of government or member of a legislature;
- a deputy minister or equivalent;
- an ambassador or an ambassador's attaché or counsellor;
- a military general (or higher rank);
- a president of a state-owned company or bank;
- a head of a government agency;
- a judge; or
- a leader or president of a political party in a legislature.

A **politically exposed person** also includes the following family members of the individual described above:

- mother or father;
- child;
- spouse or common-law partner;
- spouse or common-law partner's mother or father; and
- brother, sister, half-brother or half-sister (that is, any child of the individual's mother or father).

Client Identification Number

Is the Profiled Person an "Insider" and/or "Control Person" of any public companies listed in either Canada or the U.S.? ❑ YES ❑ NO
See descriptions below.

If yes, please provide details in the table. (If the Profiled Person is both an Insider and a Control Person, use a separate line for each designation).

Insider:
Officer or director (Canadian and U.S. companies)
or
Person who has direct or indirect beneficial ownership of, control or direction over (or a combination thereof) 10% or more of the voting rights attached to the securities of a public company listed in Canada (5% or more for a public company listed in the U.S.)

Control Person:
Holds or exercises control or direction over, or has any agreement, arrangement, commitment, or understanding (whether or not in writing) with any other persons with respect to 20% or more of the voting rights attached to the securities of a public company listed in Canada (greater than 10% for a public company listed in the U.S.)

Symbol	Market	Company	Relationship (indicate Insider or Control)

Credit Information

I hereby consent to the Firm performing a credit check with my bank or other financial institution, credit bureau, credit or consumer reporting agency, or any other person for the purpose of establishing my identity and reputation. ❑ YES ❑ NO

_____
Profiled Person Initials

Agreement

The undersigned certifies the information provided in this Supplemental Account Profile is true and complete and agrees to advise the Firm of any material change in the information.

SIGNATURE OF PROFILED PERSON

DATE (MM/DD/YYYY)